### **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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**CURRENT REPORT** Pursuant to Section 13 or 15(D) of the Securities Exchange Act of 1934

April 19, 2020 Date of report (Date of earliest event reported)

# **Axsome Therapeutics, Inc.** (Exact name of registrant as specified in its charter)

**Delaware** (State or other jurisdiction of incorporation)

001-37635 (Commission File Number)

**45-4241907** (IRS Employer Identification No.)

200 Broadway, 3rd Floor New York, New York (Address of principal executive offices)

10038 (Zip Code)

Registrant's telephone number, including area code (212) 332-3241

(Former name or former address, if changed since last report)

Securities registered pursuant to Section 12(b) of the Act:

	Title of each class:	Trading Symbol(s)	Name of each exchange on which registered:		
	Common Stock, Par Value \$0.0001 Per Share	AXSM	The Nasdaq Global Market		
		-			
	he appropriate box below if the Form 8-K owing provisions:	is intended to simultaneously satisfy	the filing obligation of the registrant under any of		
	Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425).				
	Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12).				
	Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)).				
	Pre-commencement communications p	ursuant to Rule 13e-4(c) under the Ex	schange Act (17 CFR 240.13e-4(c))		
	by check mark whether the registrant is an 05 of this chapter) or Rule 12b-2 of the Sec		ed in Rule 405 of the Securities Act of 1933 12b-2 of this chapter).		
Emergi	ng growth company ⊠				

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\boxtimes$ 

## Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On April 19, 2020, Myrtle Potter informed the board of directors (the "Board") of Axsome Therapeutics, Inc. (the "Company") that she will not stand for re-election as a director at the Company's 2020 Annual Meeting of Stockholders (the "Annual Meeting") because of her other professional responsibilities. Accordingly, Ms. Potter will no longer serve as a director on the Board effective upon the expiration of her current term at the Annual Meeting. Ms. Potter's decision to not stand for re-election as a director was not the result of any disagreement relating to the Company's operations, policies or practices.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### Axsome Therapeutics, Inc.

Dated: April 21, 2020

By: <u>/s/ Herriot Tabuteau, M.D.</u>
Name: Herriot Tabuteau, M.D.
Title: President and Chief Executive Officer