# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

CURRENT REPORT Pursuant to Section 13 or 15(D) of the Securities Exchange Act of 1934

**June 2, 2017** Date of report (Date of earliest event reported)

## **Axsome Therapeutics, Inc.**

(Exact name of registrant as specified in its charter)

**Delaware** (State or other jurisdiction of incorporation)

> 25 Broadway, 9th Floor New York, New York (Address of principal executive offices)

**001-37635** (Commission File Number) **45-4241907** (IRS Employer Identification No.)

**10004** (Zip Code)

Registrant's telephone number, including area code (212) 332-3241

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425).

o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12).

o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)).

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company x

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. x

### Item 5.07. Submission of Matters to a Vote of Security Holders.

At the 2017 annual meeting of stockholders (the "Annual Meeting") of Axsome Therapeutics, Inc. (the "Company") held on June 2, 2017, the following proposals were submitted to the stockholders of the Company:

Proposal 1: The election of one director to serve as a Class II director until the Company's 2020 annual meeting of stockholders and until his successor is duly elected and qualified.

Proposal 2: The ratification of the appointment of Ernst & Young LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2017.

For more information about the foregoing proposals, see the Company's definitive proxy statement on Schedule 14A filed with the United States Securities and Exchange Commission on May 2, 2017 (the "Proxy Statement"). Of the 23,593,667 shares of the Company's common stock entitled to vote at the Annual Meeting, 20,139,864 shares, or approximately 85%, were represented at the meeting in person or by proxy, constituting a quorum. The number of votes cast for, against or withheld, as well as abstentions and broker non-votes, if applicable, in respect of each such proposal is set forth below:

Proposal 1: Election of Class II Director.

The Company's stockholders elected the following director to serve as a Class II director until the 2020 annual meeting of stockholders and until his successor is duly elected and qualified. The votes regarding the election of the director were as follows:

Director	Votes For	Votes Withheld	Broker Non-Votes
Mark Saad	15,164,072	1,179,290	3,796,502

Proposal 2: Ratification of Appointment of Ernst & Young LLP.

The Company's stockholders ratified the appointment of Ernst & Young LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2017. The votes regarding this proposal were as follows:

20,136,517 633 2,714 0	Votes For	Votes Against	Votes Abstaining	Broker Non-Votes
2	20,136,517	633	2,714	0
2				
		2		

#### SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### AXSOME THERAPEUTICS, INC.

Date: June 2, 2017

By: /s/ Herriot Tabuteau, M.D. Herriot Tabuteau, M.D. Chief Executive Officer

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